Safety. Convenience. Mobility.

N3 TOLL CONCESSION (RF) PROPRIETARY LIMITED

(Hereinafter referred to as N3TC)

REQUEST FOR QUALIFICATION (RFQ)

STATUS: PRE-QUALIFICATION DOCUMENT

FOR

CONTRACT NO.: N3TC/RM-2027-600

N3 TOLL ROUTE: CEDARA TO HEIDELBERG

ROUTINE ROAD MAINTENANCE: PACKAGES 1, 4, 6, 7, 8, 9 &10

Package 1: N3-4 km 1.6 to N3-4 km 37.6	Cedara to Nottingham Rd IC
Package 4: N3-5 km 38.0 to N3-6 km 20.2	Frere IC to Bergville IC
Package 6: N3-7X km 0 to N3-7X km 63	KZN/FS border to Meul River
Package 7: N3-8X km 0 to N3-8 km 48.8	Meul River to Holspruit
Package 8: N3-9 km 0 to N3-9 km 39.5	Holspruit to Frankfort/Vrede (R34 IC)
Package 9: N3-9 km 39.5 to N3-10 km 5	Frankfort/Vrede (R34 IC) to Vaaldraai IC
Package 10: N3-10 km 5 to N3-11 km 15.1	Vaaldraai IC to Heidelberg (S) IC

NOVEMBER 2026

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A. INVITATION TO SUBMIT AN APPLICATION TO PRE-QUALIFY

1. N3TC invites applications from *Eligible* Companies "Entities", Joint Ventures or Consortia to submit Pre-Qualification Applications to tender for various Packages of Routine Road Maintenance Contracts namely;

   CONTRACT: N3TC/RM-2027-600

   N3 TOLL ROUTE: CEDARA INTERCHANGE TO HEIDELBERG SOUTH INTERCHANGE

   ROUTINE ROAD MAINTENANCE – PACKAGE 1, 4, 6, 7, 8, 9 & 10

2. Definitions

   a. *Anti-Corruption Laws:* Any anti-corruption, bribery or anti-money laundering laws or regulations of the Republic of South Africa, as amended from time to time, including –

      i. the Prevention of Organised Crime Act, No 121 of 1998;

      ii. the Protection of Constitutional Democracy against Terrorist and Related Activities Act, 33 of 2004

      iii. the Prevention and Combating of Corrupt Activities Act, No 12 of 2004;

      iv. the Financial Intelligence Centre Act 58 of 2001 (as amended); and

      v. any other law of similar purpose and scope in the Republic of South Africa.

   b. *Black People:* Individuals who are citizens of the Republic of South Africa, who according to racial classification did not have the right to vote or had restricted voting rights immediately prior to the 1994 elections in South Africa.

   c. *Location:* The shortest travel distance by road from the *Domicilium citandi et executandi* of the Applicant (Head office address) **to any point for a specific Contract Package on the N3 between Cedara Interchange and Heidelberg South Interchange which shall be less than 35km**. In the case of Joint Ventures and Consortia, this criteria for eligibility applies to all members of the Joint Venture or Consortium for each specific Contract Package.

3. Only pre-qualified applicants will be invited to tender for the various RRM Contract Packages.

4. The pre-qualification document is only available on the N3TC website in .pdf format, and it is the responsibility of the Applicant to download the complete document. The document will be available on the website from **9th November 2026**.
   N3TC website address: https://www.n3tc.co.za/tender/prequal-n3tc-rm-2027-600

5. The only form of communication permitted is via email to the designated email address.

   The designated email address for communication and clarification: **rrm2027.prequal@n3tc.co.za**

6. The *Eligibility* criteria are as follows:

   a. The Applicant shall be either an "Entity"/company, "Joint Venture" (i.e. partnership between two entities) or a "Consortium" (i.e. group of entities). Entities or companies (including all those entities or companies forming part of a joint venture or consortia) shall have been registered and operationally active at the *Location* for at least one year prior to 9th November 2026

   b. All members of the entity or company (including all those entities and companies forming part of a joint venture or consortium) shall have their places of business for a specific Contract Package, located not more than 35km from the N3 Toll Route, and defined as Location above.

   c. Companies/Entities shall have black (Black People) shareholding of 50.1% or more.

   d. Applicants shall be registered with the CIDB for a CE designation and have a CIDB grading of 3CE, 4CE, 5CE or 6CE prior to the pre-qualification application closing.

e. Applicants shall have previous experience of Routine Road Maintenance Contracts, with minimum of 3 completed RRM contracts (or equivalent) on National Roads in the last 5 years.

f. Applicants shall have adequate financial resources.

g. Applicants shall abide by all Anti-Corruption Laws and maintain adequate internal procedures to prevent the violation of any Anti-Corruption laws by the applicant and any person, affiliate and/or entity associated with the applicant in the conduct of its business, or the implementation of any contract concluded, irrespective of the capacity in which such person/entity is associated with the applicant.

h. Applicants shall ensure that all subcontractors, agents, intermediaries and other associated persons engaged in connection with any contract awarded pursuant to this pre-qualification process are bound by equivalent anti-corruption obligations and undertakings as those set out in this document.

i. Applicants shall be in good standing with the South African Revenue Services.

j. Applicants shall be in good standing with the Department of Labour for Compensation for Occupational Injuries and Diseases Act.

k. Applicants shall submit ALL the Returnable Schedules which forms part of this RFQ.

l. Any breach of the anti-corruption undertakings set out herein or in Form B3 shall entitle N3TC, in its sole discretion, to: (a) immediately disqualify the applicant from the pre-qualification process; (b) terminate any contract awarded to the applicant; (c) recover from the applicant all losses suffered as a result of the breach; (d) debar the applicant from future N3TC procurement processes for a period determined by N3TC; and (e) report the applicant to the relevant authorities including the National Prosecuting Authority and the Register of Tender Defaulters established under section 28 of PRECCA.

7. Submission of Pre-Qualification Applications.

The completed application shall be submitted via email to rrm2027.prequal@n3tc.co.za and received by N3TC not later than 12:00 on Friday 20 November 2026. Late submissions will not be accepted. Maximum file size is 20MB for the email submission.

B. NOTES TO APPLICANTS

1. Please answer all questions and provide relevant supporting documentation electronically.

2. Please sign electronically, all pages where required.

3. Supplementary pages may be scanned and inserted if required.

4. Please retain a copy of your complete submission.

5. If a joint venture or consortium is proposed, all participating entities are to respond to all questions and provide all required information and documentation.

6. Scanned **certified copies** of **valid** SARS Tax Compliance Status declaration (1), SARS VAT registration (2), CIPC registration & **disclosure certificate** (3), proof of business/company address (4), workman's compensation (5), proof of CIDB registration with designation 3CE, 4CE, 5CE or 6CE CIDB grading (6), proof of previous RRM experience (7), B-BBEE Verification Certificate (8) Intended Joint Venture Agreement (9) and duly completed declaration forms/documents **shall** accompany the applicant's Pre-qualification Application.

7. Failure to comply with 6 above may result in the Pre-qualification Application not being considered by N3TC at N3TC's sole discretion.

8. Nothing contained in this document shall constitute a contract between an applicant and N3TC.

9. Telephonic, facsimile or physical hardcopy Pre-qualification Applications shall not be accepted.

10. In order to be considered for Pre-qualification, applicants must comply with the minimum Broad-Based Black Economic Empowerment (B-BBEE) requirements set out in item 17 below. Failure to comply with these minimum requirements shall result in a non-compliant Pre-qualification Application which shall then not be considered further and will not proceed to the evaluation phase.

11. **Conflict of Interest.** Prospective Applicants, "Consortium Members" (if the Prospective Applicant is a Consortium) including, in each case their "Affiliates", shall not have any "Conflict of Interest". The assessment of the existence of a Conflict of Interest shall be based on the specific facts of each case and more details will be required in this regard during the Pre-qualification process.

12. **Costs for Pre-Qualification.** Prospective Applicants shall bear all costs associated with their participation in the Pre-Qualification Process, including the preparation and submission of their pre-qualification proposal and all professional fees to advisors or consultants used to assist in preparation of the Pre-Qualification application. N3TC shall not be held responsible or liable in any way for such costs regardless of the Pre-Qualification Process and/or outcome.

13. **Application for Packages.** The Applicant may indicate an Application for more than one Package provided they comply with the Eligibility criteria, in particular Location. No Applicant shall be an Affiliate or a member of another Prospective Applicant. Applicants who violate this condition will automatically be disqualified.

14. **Language.** The Pre-Qualification documents and all correspondence shall be prepared in English. Any printed material or document furnished by a Prospective Applicant and written in another language shall be accompanied by an English translated version.

15. Purpose of Invitation:

N3TC, intends procuring and awarding RRM Contracts to experienced RRM Contractors for the provision of Routine Road Maintenance works on the N3 Toll Route under:

CONTRACT: N3TC/RM-2027-600

N3 TOLL ROUTE: CEDARA INTERCHANGE TO HEIDELBERG SOUTH INTERCHANGE ROUTINE ROAD MAINTENANCE – PACKAGE 1, 4, 6, 7, 8, 9, & 10

As an initial procurement step to the intended awarding of the contract referred to above, N3TC shall Pre-qualify applicants who have submitted acceptable and compliant Pre-qualification Applications. These Pre-qualified applicants may subsequently and in accordance with N3TC's requirements, be invited to submit a Tender to N3TC.

16. Pre-Qualifying Closing:

The completed application shall be submitted via email to rrm2027.prequal@n3tc.co.za and received by N3TC not later than 12:00 on Friday 20 November 2026. Late submissions will not be accepted. Maximum file size is 20MB for the email submission.

17. Broad-Based Black Economic Empowerment (B-BBEE);

For pre-qualification purposes, the applicant must be a Black Enterprise (≥ 50.1 % black ownership & substantial management control) as defined below. A valid SANAS B-BBEE certificate (or EME affidavit) is required to verify ownership; B-BBEE Level will be used only for preference points at tender stage.

The following definitions are relevant to the B-BBEE Minimum Requirements:

Black Enterprise

A black enterprise (BE) is defined as a company or economic activity that is at least 50.1% owned by black persons and where there is substantial management control by Black People. Ownership refers to economic interest, whilst management refers to the membership of any board or similar governing body of the enterprise.

Black People

Black people (BP) are defined as Africans, Coloureds and Indians who hold South African Citizenship through their right of birth, and who according to racial classification did not have the right to vote or had restricted voting rights immediately prior to the 1994 elections in South Africa.

Preference for Targeted Enterprise

A Preference Points system for BBBEE level and Locations shall apply to applications received from invited Entities, Joint Ventures or Consortia. This will be defined in the Tender Document.

This does not apply to eligibility for this Pre-qualification.

18. N3 Toll Route: Routine Road Maintenance Contract

The successful Pre-qualified applicants may be invited to tender (at a date to be determined by N3TC and pursuant to a request for proposal issued by N3TC) for one or more of the N3 Toll Route, Routine Road Maintenance Contract Packages.

The N3 Toll Route has been divided into ten (10) road maintenance sections, of which seven (7) are applicable to this pre-qualification. For each road maintenance section there will be a Contract Package labelled 1, 4, 6, 7, 8, 9 and 10 as applicable to that section. The extent of the Site is depicted in the attached N3 Route Card (refer to Section E). The total length of the N3TC Contract Packages applicable to this pre-qualification is ± 313 km.

The Packages are as follows:

Package and Route Section	Approximate length of Package Section	Section Description
Package 1: N3-4 km 1.6 to N3-4 km 37.6	36 km	Cedara to Nottingham Rd IC
Package 4: N3-5 km 38.0 to N3-6 km 20.2	36 km	Frere IC to Bergville IC
Package 6: N3-7X km 0.0 to N3-7X km 63	63 km	KZN/FS border to Meul River
Package 7: N3-8X km 0 to N3-8 km 48.8	43 km	Meul River to Holspruit
Package 8: N3-9 km 0.0 to N3-9 km 39.5	40 km	Holspruit to Frankfort/Vrede (R34 IC)
Package 9: N3-9 km 39.5 to N3-10 km 5	43 km	Frankfort/Vrede (R34 IC) to Vaaldraai IC
Package 10: N3-10 km 5 to N3-11 km 15.1	53 km	Vaaldraai IC to Heidelberg (S) IC

19. Project Description

This project comprises routine road maintenance work on the N3 Toll Route between Cedara Interchange in KwaZulu-Natal and Heidelberg South Interchange in Gauteng contained in seven (7) Contract Packages. Following the Tender process, Contract Packages for a duration of approximately **12** months may be awarded.

This project comprises of the following but not limited to:

- Repairs to damaged road furniture (including road signs, fencing and guardrails),
- Routine pavement repairs,
- Road stud installation,
- Cleaning of the road reserve and drainage structures,
- Litter collection,
- Erosion protection,
- Drainage maintenance,
- Minor repairs to structures,
- Temporary traffic accommodation,
- Environmental management,
- Health and Safety Management.

C. PRE-QUALIFICATION RULES

1. Cost Incurred for Pre-qualification

N3TC shall not be responsible for, or pay for, any expenses, costs or losses, which may be incurred by the applicant in the preparation and submission of the Pre-qualification Application.

2. Communication with applicants

All communication shall be via the designated email at **rrm2027.prequal@n3tc.co.za**

3. Pre-qualification Evaluation and Acceptance

This document in its entirety, completed in every respect, together with other required supporting documentation must be submitted.

The evaluation of the applications shall be based on the presentation, completeness and compliance of the applicant's Pre-qualification Application.

The decision made by N3TC regarding the acceptance of applicants who will pre-qualify and who will be invited to respond to the Tender issued shall be final. N3TC does not bind itself to accept any of the Pre-qualification Applications. N3TC may at any time terminate the Pre-qualification process without Pre-qualifying any applicants.

4. Information and data to be completed in all respects

Provided that the omission is not a material omission, the Employer reserves the right to condone the omission and may waive any nonconformities in the application.

Provided that the omission is not a material omission, the Employer reserves the right to condone the omission and may request the applicant to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the application related to documentation requirements.

5. Disqualification of Pre-qualification Application

The Pre-qualification Application of any applicant who has not complied with the requirements of this document and the instructions reflected therein and/or who has approached an officer or employee of N3TC before or after the Pre-qualification process, to influence the award in their favour will be disqualified at the sole discretion of N3TC.

D RETURNABLE SCHEDULES

1. Returnable schedules have been numbered and must be completed in every respect and shall include supporting documents where applicable.

2. Failure to fully complete the relevant returnable documents may render such a submission non-responsive.

3. Applicants shall note that their signatures appended to each returnable form represent a declaration that they assure the accuracy and correctness of the information provided.

4. Notwithstanding any check or audit conducted by or on behalf of N3TC, the information provided in the returnable documents is accepted in good faith and as justification for pre-qualifying the applicant. If subsequently any information is found to be incorrect and/or misleading such a discovery shall be taken as wilful misrepresentation by that applicant. In such an event N3TC has the discretionary right to disqualify the application.

5. These forms must be completed electronically in the .pdf format and any alterations made prior to the date of closure for pre-qualification, countersigned by an authorized signatory. A printed and scanned copy will be acceptable provided that each form is completed legibly and completely and supporting documents are certified and included with the scanned copy and the resolution of the scanned copy is such that the entire document is legible.

LIST OF RETURNABLE SCHEDULES		COMPLETED YES/NO
FORM A1	APPLICANTS COMPANY DETAILS	
FORM A2	LOCATION OF BUSINESS AND PROOF OF ADDRESS	
FORM A3	REGISTRATION WITH CIDB	
FORM A4	APPLICANT'S REGISTERED FINANCIAL SERVICE PROVIDER LETTER AND BANK DETAILS	
FORM A5	CERTIFICATE OF AUTHORITY FOR SIGNATORY	
FORM A6	CERTIFICATE OF TAX COMPLIANCE	
FORM B1	DECLARATION OF APPLICANT'S AGREEMENT AND CONSENT FOR N3TC TO UNDERTAKE ITC AND CRIMINAL RECORD CHECKS	
FORM B2	COMPULSORY ENTERPRISE QUESTIONNAIRE	
FORM B3	ANTI-CORRUPTION CONFIRMATIONS AND UNDERTAKINGS	
FORM C1	PACKAGE PREFERENCES	
FORM C2	SCHEDULE OF WORK EXPERIENCE	
FORM C3	CERTIFICATE OF COMPLIANCE WITH OCCUPATIONAL HEALTH AND SAFETY ACT, 1993 AND CONSTRUCTION REGULATIONS, 2014	
FORM C4	SCHEDULE OF APPLICANTS EQUIPMENT	
FORM C5	APPLICANT'S B-BBEE VERIFICATION CERTIFICATE	
FORM C6	INTENDED JOINT VENTURE AGREEMENT	
FORM D1	DECLARATION	

FORM A1: APPLICANT'S COMPANY DETAILS

Notes to applicant:

1. ***A certified copy of the applicant's business/company registration forms including the Certificate of Incorporation (CM1) or (COR 14.1) if applicable / Founding Statement and Certificate of Incorporation (CK1), the Memorandum of Incorporation / Memorandum and Articles of Association Notice of Registered Office (CM22) or (COR 21) if applicable / Amended Founding Statement (CK2) or (COR 18.1) if applicable.***

2. ***In addition to the above, the applicant shall attach a recent (not older than 3 months) certified copy of the applicant's business/company CIPC Disclosure Certificate. Failure to provide the disclosure certificate may be considered a material non-compliance for the pre-qualification.***

3. ***In the case of a Joint Venture, the documents referred to in points 1 & 2 above shall be submitted for each member of the Joint Venture.***

a. **General:**	
1. Name of Company	
2. Postal address	
3. Physical address (Company Head Office *Domicilium citandi*)	
4. Telephone no.	
5. Distance to N3 via shortest route (to selected Package(s) measured from item 3 above)	
6. E-mail address	
7. Contact person (full name)	
8. Contact person (identity number)	
9. Company Income tax reference no.	
10. Company VAT registration no.	
11. Company registration no.	

b. **Type of business:**

	Sole Trader		Close Corporation		Company (Pty) Ltd				

c. **List all members, directors, shareholders, or beneficial owners of enterprises as follows:****

Name, surname & designation	ID Number / Citizenship	Black Y/N	Male / Female	% Owned

d. **Total number of years the firm has been in business:** _____

e. Number of permanent staff members employed by your business: _____

** Should the shareholder or beneficial owner of the applicant's company be that of another company or trust, the details of the directors, shareholders, beneficial owners and/or trustees of that company or trust must be provided.

SIGNED BY APPLICANT: .....

FORM A2 LOCATION OF BUSINESS AND PROOF OF ADDRESS

The onus lies with the Applicant to ensure that adequate proof is provided to confirm that the Business is located at the address declared. Supporting documentation e.g. Bank Letter or letter from the auditor or company secretary confirming the address, Vehicle Licence/registration document, active lease agreement or Municipal Rates or other Utility bill not older than three months (which is preferable).

Note: A certified copy of proof of business address shall be attached.

Business (head office) street address (being the place at which the applicant operates its business): _____

_____

_____

District municipality: _____

Province: _____

Distance from N3 Toll Route (Package): _____

Number of years at present address: _____

Additional justifications, if applicable: _____

SIGNED BY APPLICANT: .....

FORM A3: REGISTRATION WITH CIDB

The applicant shall provide the following details of his registration with the Construction Industry Development Board. In the case of a joint venture, each member of the joint venture shall complete a separate form attached to this one.

The applicant shall provide a printed copy of the Active Contractor's Listing off the CIDB website. (www.cidb.org.za). Applicants whose CIDB registration expires within 21 days after close of pre-qualification should attach proof of their application for re-registration. In the case of a Joint Venture, a printed copy of the Active Contractor's Listing must be provided for each member of the Joint Venture. The applicant is aware that eligibility is restricted to companies/joint ventures/consortia who have a CIDB grading Designation of 3CE, 4CE, 5CEor 6CE.

Name of Contractor:…….....

Contractor Grading Designation: .....

CIDB Contractor Registration Number: .....

Expiry Date: .....

Note: Applicant's must be registered with the CIDB, with designation of 3CE, 4CE, 5CE or 6CE prior to pre-qualification closing.

SIGNED BY APPLICANT: .....

FORM A4: APPLICANT'S REGISTERED FINANCIAL SERVICE PROVIDER LETTER AND BANK DETAILS

Notes to applicant:

1. The applicant shall attach to this form:

a) A letter (dated not more than 3 months prior to the pre-qualification closing date) from a Registered Financial Service Provider (registered with the FSB) which declares how the entity conducts its account and including the details provided below as an example.

2. In the event that the applicant is a joint venture enterprise, details of all the members of the joint venture shall be similarly provided and attached to this form.

3. In the event that the Employer, at its sole discretion, is not satisfied with the financial capability of the applicant as a result of whatsoever nature and reason, the Employer reserves the right to withhold an invitation to tender from the applicant.

4. I/We hereby authorise N3TC's authorised financial representative to approach all or any of the following banks for a reference.

5. The applicant shall provide an original letter of good standing or certified copy, issued by his financial institution.

6. The applicant shall provide below at least three (3) trade references:

CLIENT	CONTACT PERSON	CONTACT NUMBER	ACCOUNT NUMBER

DATE
Bank Name
FSB Number
Bank Address

(Letter to be placed on the Financial Service Provider's letter head)

RE: ACCOUNT CONDUCT AND BANK DETAILS

To Whom It May Concern:

We hereby confirm that (Applicant Name) has been banking with _____ bank for a period of _____ years and the account has been conducted in a satisfactory manner.

i) Name of Account Holder:.....

ii) Account Number: .....

iii) Bank name:.....

iv) Branch Number:.....

v) Bank and branch contact details.....

vi) Bank Rating .....

Yours Sincerely,

Name_____

Signature _____

BANK STAMP

SIGNED ON BEHALF OF APPLICANT: ……

FORM A5: CERTIFICATE OF AUTHORITY FOR SIGNATORY

Notes to applicant:

1. The signatory for the applicant shall confirm his/her authority thereto by attaching a duly signed and dated copy of the relevant resolution of the board of directors/partners/consortia on the company's letterhead.

2. In the event that the applicant is a joint venture, a certificate is required from each member of the joint venture clearly setting out:

   (i) authority for signatory;
   (ii) undertaking to formally enter into a joint venture contract should an award be made to the joint venture; and
   (iii) name of designated lead member of the intended joint venture.

3. The resolution below is given as an example of an acceptable format for authorization, but submission of this page with the example completed shall not be accepted as authorization of the applicants' signatory.

By resolution of the board of directors/partners passed at a meeting held on .....

Mr/Ms ..... ,whose signature appears below, has been duly authorised to

sign all documents in connection with the pre-qualification for:

CONTRACT NO: N3TC/RM-2027-600:

N3 TOLL ROUTE: CEDARA TO HEIDELBERG
ROUTINE ROAD MAINTENANCE – PACKAGE 1, 4, 6, 7, 8, 9 & 10

and any contract which may arise there from on behalf of (*enter name of applicant in block capitals*)

SIGNED ON BEHALF OF THE COMPANY:
(NAME OF REPRESENTATIVE) .....

IN THE CAPACITY OF: .....

DATE:.....

SIGNATURE OF SIGNATORY: .....

WITNESSES: …… ……..
SIGNATURE SIGNATURE

…… ……..
NAME (print) NAME (print)

FORM A6: CERTIFICATE OF TAX COMPLIANCE

Notes to applicant:

1. The applicant shall attach to this page an original or certified copy of his Tax Clearance and VAT Registration certificate which shall be obtained by the applicant from the South African Revenue Service (SARS) and which shall be valid on the closing time for submission of pre-qualification applications.
2. In the event of a joint venture each member shall comply with the above requirement.
3. Where such certificates are no longer issued by SARS, the applicant shall complete the declaration below:

Note to applicant:

In terms of National Treasury Instruction No 3 of 2014/2015 with reference to the Public Finance Management Act, 1999 (Act No 1 of 1999) and Regulations, the contractor and subcontractors are required to provide the N3TC with written confirmation to access the SARS Electronic Tax Compliance Status (TCS) System to verify and continuously track the tax compliance status of all persons conducting business with the State.

DECLARATION

I, the undersigned, ...

hereby confirm that if my application is accepted, that N3TC and/or their implementing agent may access the SARS Electronic Tax Compliance Status (TCS) System to verify and continuously track the tax compliance status of the applicant.

Signature: .....

Name: .....

Position: .....

Date: .....

Name of applicant: .....

SIGNED BY APPLICANT: .....

FORM B1: DECLARATION OF APPLICANT'S AGREEMENT AND CONSENT FOR N3TC TO UNDERTAKE ITC AND CRIMINAL RECORD CHECKS

Notes to applicant:

1. The signatory for the applicant (as per Form A5) shall complete and sign this form.
2. In the event that the applicant is a joint venture/consortia, a declaration is required from each member of the joint venture/consortia.

I, the undersigned ……declare:

(i) that the applicant agrees that N3TC may undertake ITC and criminal record checks on the company or any of its Directors / Members and/or shareholders or beneficial owners.

.....

.....

.....

.....

.....

(ii) In the case that such records are not favourable to N3TC the application may be considered unresponsive.

SIGNATURE: .....

ON BEHALF OF THE COMPANY: .....

DATE: .....

SIGNED BY APPLICANT: .....

FORM B2: COMPULSORY ENTERPRISE QUESTIONNAIRE

Definitions:

“Shareholder” means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.
“Member” Means a person who wholly or partly exercises, or may exercise, control over the enterprise.

FORM B2: COMPULSORY ENTERPRISE QUESTIONNAIRE

The following particulars must be furnished. In the case of a joint venture, separate enterprise questionnaires in respect of each partner must be completed and submitted.

Section 1: Name of enterprise……

Section 2: VAT registration number, if any…… .

Section 3: CIDB registration number……..

Section 4: Particulars of sole proprietors and partners in partnerships

Name*	Identity number*	Personal income tax number*

***** Complete only if sole proprietor or partnership and attach separate page if more than 3 partners

Section 5: Particulars of companies and close corporations

Company registration number ……..

Close corporation number ……

Tax reference number ……

The names of all directors/trustees/shareholders/members (attach a separate page if more than 3 directors/trustees/shareholders/members):

Name	Director/Trustee/ Shareholder/ Member	Identity number	Personal income tax number	Employee/ Personnel number

Section 6: **Disclosures**

Indicate by marking the relevant boxes with a cross, if any sole proprietor, partner in a partnership or director, manager, principal shareholder or stakeholder in a company or member of a close corporation is currently or has been within the last 12 months in the service or had any relationship, affiliation or business connection to N3TC:

Disclose the following:

Name of sole proprietor, partner, director, manager, principal shareholder or stakeholder	Status of service (tick appropriate column)	
	Current	Within last 12 months

* Insert separate page if necessary

Section 7: Declaration of interest and disclosure of domestic prominent influential persons and foreign public officials

If any person involved in this pre-qualification document, has a relationship with person/s who are involved in the evaluation and/or adjudication of this pre-qualification document, or where it is known that such a relationship exists between the person/s for on whose behalf the declarant acts and person/s who are involved with the evaluation and/or adjudication of the pre-qualification document:

1. Are you or any person connected with the applicant presently employed by N3TC?	YES NO
2. If so, furnish the following particulars:	

a. Name of person/director/trustee/shareholder/member b. Position occupied in N3TC	....

3. If you are presently employed by the N3TC, did you obtain the appropriate authority to undertake remunerative work outside Employment of N3TC?	YES NO
4. If yes, did you attach proof of such authority to the pre-qualification document? *(Note: Failure to submit proof of such authority, where applicable, may result in disqualification of the application.)* *If no, furnish reasons for non-submission of such proof.*	YES NO ....
5. Do you, or any person connected with the applicant, have any relationship (family, friend, other) with any person employed by N3TC? If so, furnish particulars.	YES NO ....
6. Are you, or any person connected with the applicant, aware of any relationship (family, friend, other) between any other applicant and any person employed by N3TC who may be involved with the evaluation and/or adjudication of this application? If so, furnish particulars.	YES NO ....
7. Do any of the related parties to the applicant, i.e. directors, members, shareholders or beneficial owners fall within the category of a Domestic Politically Exposed Person (DPEP), or Prominent Influential Person (PIP), or is a family member or known close associate of a DPEP, or a PIP? If so, furnish particulars. In this regard, please refer to the Financial Intelligence Center's public compliance communication regarding the definitions of, DPEP, PIP, family members and known close associates which can be accessed from: https://www.fic.gov.za/wp-content/uploads/2023/09/2021.12-PCC-PCC-51-FPPO-DPIP.pdf	YES NO ....

Section 8: Declaration

The undersigned, who warrants that he/she is duly authorised to do so on behalf of the enterprise:

i) authorizes N3TC to obtain a tax clearance certificate from the South African Revenue Service that my/our tax matters are in order;

ii) confirms that the neither the name of the enterprise nor the name of any partner, manager, director or other person, who wholly or partly exercises, or may exercise, control over the enterprise appears on the Register of Tender Defaulters established in terms of the Prevention and Combating of Corrupt Activities Act of 2004;

iii) confirms that I / we are not associated, linked or involved with any other applicant submitting pre-qualification application and have no other relationship with any of the applicants or those responsible for compiling the scope of work that could cause or be interpreted as a conflict of interest; and

iv) confirms that the contents of this questionnaire are within my/our personal knowledge and are to the best of my/our belief both true and correct.

I, the undersigned

.....

certify that the information furnished in Form B2 above is correct. I accept that the N3TC may reject the application or act against me should this declaration prove to be false.

.....	.....
Signature (duly authorised)	Date
.....	.....
Position	Name of Enterprise

FORM B3: ANTI-CORRUPTION CONFIRMATIONS AND UNDERTAKINGS

Note to applicant:

For purposes of this form, "*Gratification*" shall bear the meaning ascribed to it in terms of Section 1 of the Prevention and Combating of Corrupt Activities Act, No 12 of 2004 ("*PRECCA*"), for purposes of interpretation in the Republic of South Africa, and includes (without limitation): money, whether in cash or otherwise; any donation, gift, loan, fee, reward, valuable consideration, property or interest in property of any description, whether movable or immovable, or any other similar advantage; the avoidance of a loss, liability, penalty, forfeiture, punishment or other disadvantage; any office, status, honour, employment, contract of employment or services, any agreement to give employment or render services in any capacity and residential or holiday accommodation; any payment, release, discharge or liquidation of any loan, obligation or other liability, whether in whole or in part; any forbearance to demand any money or money's worth or valuable thing; any other service or favour or advantage of any description, including protection from any penalty or disability incurred or apprehended or from any action or proceedings of a disciplinary, civil or criminal nature, whether or not already instituted, and includes the exercise or the forbearance from the exercise of any right or any official power or duty; any right or privilege; any real or pretended aid, vote, consent, influence or abstention from voting; and any valuable consideration or benefit of any kind, including any discount, commission, rebate, bonus, deduction or percentage.

The Applicant consents to the following Anti-Corruption undertakings and makes the following confirmations by initialling in the space provided below:

No.	Description	Applicant initials
1.	The Applicant undertakes that it is familiar with the applicable Anti-Corruption Laws and the obligations contained therein.	
2.	The Applicant confirms that any performance under the ensuing agreement to be concluded in due course with N3TC will be made in compliance with the Anti-Corruption Laws.	
3.	The applicant undertakes and acknowledges that it and its members, directors, shareholders, beneficial owners, contractors, suppliers or any of its affiliates have not made, offered, or authorised and will not make, offer, or authorise with respect to the matters which are the subject of this pre-qualification application or any subsequent agreement to be concluded with N3TC in due course, any payment, gratification, gift, promise or other advantage, whether directly or through any other person or entity, to any other person (including a Government Official) where such payment, gift, promise or advantage violates the applicable Anti- Corruption Laws.	
4.	The applicant undertakes to maintain adequate internal procedures designed to prevent the violation of any Anti-Corruption laws by any person/entity associated with the applicant in the conduct of its business, or the application or subsequent implementation in due course, irrespective of the capacity in which such person/entity is associated with the applicant.	
5.	The applicant agrees to maintain adequate internal controls and to keep accurate and complete records that support the payments due and all transactions under any agreement to be concluded in due course.	
6.	The applicant agrees and undertakes to notify N3TC immediately upon receipt of any solicitation, gratification, demand or other request for anything of value relating to the subject matter of this pre-qualification agreement or any subsequent contract to be concluded in due course, where such payment, gift, gratification, promise or advantage would violate the applicable Anti-Corruption Laws.	
7.	The applicant confirms that, to the best of its knowledge and belief, neither it nor any of its employees, representatives, directors, members or and/or shareholders:	

	a. have been investigated (or are being investigated) in relation to any breach of any Anti-Corruption Laws by any Government Entity or similar law enforcement body; and b. has not admitted to; or been found by a court in any jurisdiction to have engaged in any breach of the Anti-Corruption Laws, or been debarred from bidding for any contract or business. The applicant agrees that if, at any time, it becomes aware that any of the information set out in this declaration is no longer correct, it will notify N3TC of this immediately in writing.	

N3TC reserves the right, at any time during the pre-qualification process, tender process, or contract period, to request information, conduct audits, or engage third parties to verify the applicant's compliance with the Anti-Corruption Laws and the undertakings set out herein. The applicant undertakes to cooperate fully with any such audit or verification request.

SIGNED BY APPLICANT: ……

FORM C1: PACKAGE PREFERENCES

We hereby apply to be considered for the following Packages of Work: **(Tick Applicable Package/s)**

Package and Location	**Package Description**	**Tick applicable Package (May be more than one)**
Package 1: N3-4 km 1.6 to N3-4 km 37.6	Cedara to Nottingham Rd IC	
Package 4: N3-5 km 38.0 to N3-6 km 20.2	Frere IC to the Bergville IC	
Package 6: N3-7X km 0.0 to N3-7X km 63.0	Van Reenen/KZN/FS Border to Meul River	
Package 7: N3-8X km 0.0 to N3-8 km 48.8	Meul River to Holspruit	
Package 8: N3-9 km 0.0 to N3-9 km 39.5	Holspruit to Frankfort/Vrede (R34 IC)	
Package 9: N3-9 km 39.5 to N3-10 km 5.0	Frankfort/Vrede (R34 IC) to Vaaldraai IC	
Package 10: N3-10 km 5.0 to N3-11 km 15.1	Vaaldraai IC to Heidelberg (S) IC	

NOTE: Applicants must take cognisance of the Location of Business requirement.

We acknowledge that if we are selected to tender for more than one RRM Package, that N3TC reserves the right to only award one Package per Tenderer.

SIGNED BY APPLICANT: ……

FORM C2: SCHEDULE OF WORK EXPERIENCE

Note to applicant:

The Applicant must provide, in the space provided below, a complete list of the Applicant's last ten (10) routine road maintenance (RRM) contracts or similar relevant contracts that have been awarded to the Applicant. This information is considered material to the pre-qualification evaluation.

EMPLOYER (NAME, TEL NO.)	CONSULTING ENGINEER (NAME, TEL NO. IF APPLICABLE)	NATURE OF WORK	VALUE OF WORK	YEAR COMPLETED

SIGNED BY APPLICANT:……..

FORM C3: CERTIFICATE OF COMPLIANCE WITH OCCUPATIONAL HEALTH AND SAFETY ACT, 1993 AND CONSTRUCTION REGULATIONS

Notes to applicant:

1. Discovery that the applicant has failed to make proper disclosure may result in N3TC disqualifying the applicant from this pre-qualification process on the ground that it has been rendered invalid by the applicant's misrepresentation.

2. The applicant shall attach to this Form evidence that he is registered and in good standing with the compensation fund or with a licensed compensation insurer who is approved by the Department of Labour in terms of section 80 of the Compensation for Occupational Injuries and Diseases Act, 1993 (Act No 130 of 1993).

3. The applicant is required to disclose, by also attaching documentary evidence to this form, all inspections, investigations and their outcomes conducted by the Department of Labour into the conduct of the applicant at any time during the 36 months preceding the date of this pre-qualification process.

SIGNED BY APPLICANT: ……

FORM C4: SCHEDULE OF APPLICANTS EQUIPMENT

Note to Applicant: State with relevant symbol in the availability column.

The applicant shall state below what constructional plant/equipment will be immediately available for these pre-qualification packages, what constructional plant/equipment will become available by virtue of outstanding orders, and what further constructional plant/equipment will be acquired or hired for the work should he be considered for the invitation to tender.

a) CONSTRUCTIONAL PLANT/EQUIPMENT IMMEDIATELY AVAILABLE (I)
b) CONSTRUCTIONAL PLANT/EQUIPMENT ON ORDER (O)
(State details of arrangements made, with delivery dates)
c) CONSTRUCTIONAL PLANT/EQUIPMENT THAT WILL BE ACQUIRED OR HIRED (H)
(State details of delivery arrangements)

DESCRIPTION, SIZE, CAPACITY	NUMBER	AVAILABILITY

NOTE: Applicant shall add additional plant/equipment as may be relevant to the packages under consideration.

SIGNED BY APPLICANT:……

FORM C5: APPLICANT'S B-BBEE VERIFICATION CERTIFICATE

Notes to Applicant:

1. **The Applicant shall attach to this form a valid B-BBEE verification certificate issued in accordance with:**
   - **the amended Construction Sector Codes published in Notice 931 of 2017 of Government Gazette No. 41287 on 1 December 2017 by the Department of Trade and Industry.**
   - **in the event that the Measured Entity operates in more than one sector or sub-sector, the scorecard for the sector or sub-sector in which the majority of its core activities (measured in terms of Annual Revenue) are located will be acceptable.**
2. **The certificate shall:**
   - **have been issued by a verification agency accredited by the South African National Accreditation System (SANAS); or**
   - **be in the form of a sworn affidavit or a certificate issued by the Companies and Intellectual Property Commission in the case of an Exempted Micro Enterprise (EME) with a total annual revenue of less than R3 million if issued in accordance with the amended Construction Sector Codes published in Notice 931 of 2017 of Government Gazette No. 41287 on 1 December 2017 by the Department of Trade and Industry; and**
   - **be valid at the original advertised pre-qualification closing date; and**
   - **have a date of issue less than 12 (twelve) months prior to the pre-qualification closing date.**
3. **In the event of an un-incorporated joint venture (JV), a project specific (N3TC project number indicated) consolidated B-BBEE verification certificate in the name of the JV, shall be attached, as well as a valid B-BBEE verification certificate for each member of the JV on which the JV certificate was calculated.**
4. **The attached verification certificate and the associated assessment report shall comply with the requirements of this RFQ and shall identify:**
   - **(a) The name and *domicilium citandi et executandi* of the applicant.**
   - **(b) The registration and VAT number of the applicant.**
   - **(c) The dates of granting of the B-BBEE score and the period of validity.**
   - **(d) The expiry date of the verification certificate.**
   - **(e) A unique identification number.**
   - **(f) The standard and/or normative document, including the issue and/or revision used to evaluate the applicant.**
   - **(g) The name and/or mark/logo of the B-BBEE verification agency.**
   - **(h) The category (Generic, QSE, EME) in which the Applicant has been measured.**
   - **(i) The B-BBEE status level.**
   - **(j) The South African National Accreditation System (SANAS) logo on the verification certificate once verification agencies have been accredited.**
   - **(k) The B-BBEE procurement recognition level.**
   - **(l) The score achieved per B-BBEE element.**
   - **(m) The % black shareholding.**
   - **(n) The % black women shareholding.**
   - **(o) The % black persons with disabilities shareholding**
   - **(p) The % black youth shareholding**
   - **(q) The % black people living in rural or underdeveloped areas or townships shareholding**
   - **(r) The % black military veterans shareholding**
   - **(s) The value-added status of the applicant.**
5. **The Employer shall not be responsible to acquire data that it needs for its own reporting systems and which may not form part of a verification agency's standard certificate format. The applicant, at its own cost, must acquire any missing specified data listed in 4 above from its selected verification agency and have it recorded on the certificate. Alternatively, such missing data must be supplied separately, but certified as correct by the same verification agency and also attached to this form.**

SIGNED BY APPLICANT:.....

FORM C6: INTENDED JOINT VENTURE AGREEMENT

Notes to applicant:

1. This form is mandatory if the Pre-Qualification Application is submitted by or on behalf of a Joint Venture (unincorporated partnership between two or more entities) or Consortium (group of entities collaborating for this specific Contract).

2. The Applicant must attach:

   a. A draft Joint Venture Agreement (or Memorandum of Understanding / Heads of Agreement) specifically prepared for this Pre-Qualification and the subsequent Tender for Contract No. N3TC/RM-2027-600 (Routine Road Maintenance – Packages 1, 4, 6, 7, 8, 9 &/or 10 as applicable).
   b. The draft must be based on (or substantially follow) the CIDB Joint Venture Agreement template (available at www.cidb.org.za) or an equivalent professionally drafted agreement.
   c. It must be package-specific (reference the exact Contract number, route, and Packages applied for).
   d. The draft does not need to be signed/executed at pre-qualification stage, but it must be dated and include placeholders for signatures.
   e. The draft must include the necessary provisions, warranties and undertakings in accordance with the applicable Anti-Corruption Laws.
   f. The lead member shall have majority share in the Joint Venture.

3. In addition to the draft agreement, the Applicant must complete the table below.

4. Failure to submit a compliant draft JV agreement will render the Pre-Qualification Application non-responsive for any JV/Consortium submission.

Applicant Name	**Address and Contact Details (Name, Cell phone, Email)**	**Share % in the JV**
Lead Member:		
Total		100

SIGNED BY APPLICANT: ……

FORM D1. DECLARATION

I Declare that all of the information furnished by me, including the supporting documentation and information contained Forms A1 to C6 is to my knowledge true and correct in all respects.

Any false declarations will constitute a breach of the pre-qualification process and the applicant will be disqualified from any further tender related matters.

I further declare that I acknowledge that the intentional submission of any false or fraudulent documents or any purposeful omissions designed to mislead N3TC may constitute a criminal offence.

Signed on this ……. (Day) of …….. (Month) 2026.

Duly authorised signature: ……..

Print name: ……..

Capacity: ……

Witness: ……..

Print name: ……..

SIGNED BY APPLICANT: ……..……..

E N3 ROUTE CARD

